

ព្រះរាជាណាចក្រកម្ពុជា
ជាតិ សាសនា ព្រះមហាក្សត្រ



ក្រុមហ៊ុនមូលប្រតិបត្តិ រួម ន.ក

Job Descriptions

Royal Group Securities Plc. (RGS) is a trusted underwriter and financial advisor in Cambodia's securities industry and a subsidiary of the Royal Group of Companies, one of the nation's largest and most diversified business conglomerates. Leveraging our extensive expertise and strong foundation, we provide exceptional financial advisory services such as fund raising through IPO, bond issuance, M&A, and brokerage transactions. In 2024, we were awarded the "Best Underwriter" by the Cambodia Securities Exchange, and the "Securities House Award for Best Fixed Income in Cambodia" from Euromoney.

Royal Group Securities is launching Cambodia's first-ever government-approved international investment platform under the brand name "Royal Group Global Market", where Cambodians can now safely and securely invest in international stocks, such as Apple, Google, Facebook, while being protected under Cambodian laws. **We want you to be part of this historic transformation in the Cambodia's capital market.**

We are looking for qualified candidates to join our team in the following positions:

- Position: Head of Compliance Officer
- Department: Compliance office

Responsibilities:

- Ensure the company complies with all applicable laws, regulations, and guidelines issued by SERC, NBC, MEF, and other relevant authorities.
- Monitor regulatory updates and implement necessary changes to internal policies and procedures.
- Develop, review, and enforce compliance policies, manuals, and internal control frameworks.
- Conduct compliance monitoring, internal reviews, and compliance risk assessments.
- Identify, manage, and report compliance risks across all departments.
- Oversee AML/CFT compliance, including KYC, CDD/EDD procedures, and suspicious transaction reporting.
- Prepare and submit regulatory reports, disclosures, and filings to relevant regulators.
- Coordinate and support internal/external audits and regulatory inspections.
- Provide compliance training and awareness sessions for employees and management.
- Prepare compliance reports for senior management and the Board of Directors.
- Lead, supervise, and develop the Compliance Office team.

Requirements:

- Master's degree in Law, Finance, Accounting, Economics, Business, or a related field.
- Minimum **5-7 years** of experience in compliance, legal, regulatory, or risk management roles, preferably in financial services or securities.
- Strong knowledge of Cambodian securities laws, SERC regulations, corporate governance, and financial compliance frameworks.
- Excellent leadership, strategic thinking, analytical, and problem-solving skills.
- Excellent Khmer and English communication, with integrity, attention to detail, and ability to handle compliance challenges

How to apply:

Interested candidates are invited to submit their resume and cover letter to

- Email: info@royalgroupsecurities.com.kh
- Telegram: 023 994 999, or 095 999 187

We look forward to receiving your application and the opportunity to welcome you to our team!



RGS' Website

RGS' Investors

អត្ថបេទេ ផ្លូវហិរញ្ញវត្ថុរួម (៩៣) ភូមិ១០ សង្កាត់បឹងកំង ខណ្ឌដុំពេញ រាជធានីភ្នំពេញ

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